

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09546031	
	Filing Date		2000-04-10	
	First Named Inventor	Christopher Keith		
	Art Unit	3628		
	Examiner Name	Clement B. Graham		
	Attorney Docket Number	IVEN125461		

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9	5253165	A	1993-10-12	Leiseca	
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U.S. PATENT APPLICATION PUBLICATIONS

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	1	20030014354	A1	2003-01-16	Madoff	
	2	20030014379	A1	2003-01-16	Saias	

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	1	Board of Trade of the City of Chicago, Commodity Trading Manual, 1998, pp. 178-179, 387	<input type="checkbox"/>
	2	Hull, J.C., Introduction to Futures & Options Markets, 2d ed., Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34	<input type="checkbox"/>
	3	Livingston, M., Bonds and Bond Derivatives, Blackwell Publishers Inc., Malden, Mass., 1999, pp. 38-41	<input type="checkbox"/>

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4	"More Companies Buying Back Stock," The Salt Lake Tribune, April 9, 2000, < http://proquest.umi.com/pqdweb?index=6&did=52501230&srchMode=1&sid=21&Fmt=3... >[retrieved November 3, 2005]	<input type="checkbox"/>
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☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kevan L. Morgan/	Date (YYYY-MM-DD)	2006-10-13
Name/Print	Kevan L. Morgan	Registration Number	42015

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